

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: James H. JOHNSON, T.G. SAMBANDAM, Barry J. HAND, Christopher D. HOWE, Roland R. FRANKE, Brian A. BUCHER, John S. JUCHUM, Rex T. GALLAGHER, Marc A. PLANTE, Edward M. De SIMONE III, and Dong S. YANG

Application No./Patent No. 6,956,123 Filed/Issue Date: October 18, 2005

Entitled: PURIFICATION OF TAXANES AND TAXANE MIXTURES USING POLYETHYLENIMINE-BONDED RESINS

Phyton Holdings, LLC, a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: James H. JOHNSON, T.G. SAMBANDAM, Barry J. HAND, Christopher D. HOWE, Roland R. FRANKE, Brian A. BUCHER, John S. JUCHUM, Rex T. GALLAGHER, Marc A. PLANTE, Edward M. De SIMONE III, and Dong S. YANG To: Natural Pharmaceuticals, Inc.

The document was recorded in the United States Patent and Trademark Office at Reel 014551, Frame 0671, or for which a copy thereof is attached.

2. From: Natural Pharma Canada, ULC and Natural Pharmaceuticals, Inc. To: Phyton, Inc.

The document was recorded in the United States Patent and Trademark Office at Reel 023741, Frame 0771, or for which a copy thereof is attached.

3. From: Natural Pharma Canada, ULC and Natural Pharmaceuticals, Inc. To: Phyton, Inc.

The document was recorded in the United States Patent and Trademark Office at Reel 023768, Frame 0626, or for which a copy thereof is attached.

4. From: Natural Pharma Canada, ULC and Natural Pharmaceuticals, Inc. To: Phyton, Inc.

The document was recorded in the United States Patent and Trademark Office at Reel 023768, Frame 0757, or for which a copy thereof is attached.

5. From: Phyton, Inc. To: Phyton Holdings, LLC

The document was recorded in the United States Patent and Trademark Office at Reel 023786, Frame 0389, or for which a copy thereof is attached.

6. From: Phyton, Inc. To: Phyton Holdings, LLC

The document was recorded in the United States Patent and Trademark Office at Reel 023786, Frame 0690, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (*i.e.*, a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.



Signature

April 16, 2010

Date

Michael R. Krawzsenek, Reg. No. 51,898

Printed or Typed Name

(512) 474-5201

Telephone Number

Attorney

Title

DFBP:064US

File Code